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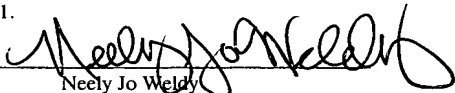
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on October 30, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL896840933US, addressed to the Commissioner for Patents, Washington, DC 20231.

Attorney Docket No. GATEP002

First Named Inventor: Roger R. DUBE

  
Neely Jo Weldy

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventor:  
**Roger R. DUBE**

For: **ELECTRONIC FILE PROTECTION USING LOCATION**

Priority of U.S. Provisional Application No. 60/296,923 filed on June 1, 2001, and U.S. Provisional Application No. 60/245,491 filed on November 3, 2000 is claimed under 35 U.S.C. § 119(e).

Application Elements:

- ☒ 50 Pages of Specification, Claims and Abstract
- ☒ 14 Sheets of Drawings (Informal)
- ☒ 02 Pages of Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Other:
- ☐ Copies of IDS Citations

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	<u>SMALL ENTITY</u>		<u>OR</u>	<u>LARGE ENTITY</u>	
	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>		<u>RATE</u>	<u>FEE</u>
BASIC FEE			\$370	\$	OR	\$740	\$370
TOTAL CLAIMS	<u>38</u>	<u>-20 = 18</u>	x09=	\$	OR	x18 =	\$162
INDEP CLAIMS	<u>03</u>	<u>-03 = 00</u>	x42 =	\$	OR	x84 =	\$
[ ] Multiple Dependent Claim Presented			\$140 =	\$	OR	\$280 =	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	<u>\$532.00</u>

☒ Check No. 6018 in the amount of \$572.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. GATEP002).

Request for Small Entity Status

☒ Applicant is entitled to small entity status.

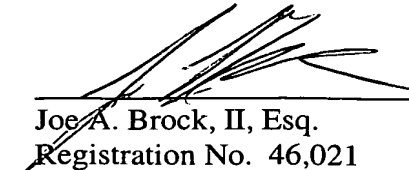
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. GATEP002).

☒ Please send correspondence to the following address:

**Joe A. Brock, II, Esq.**  
MARTINE & PENILLA, LLP  
710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
**Customer Number 25920**  
Tel (408) 749-6900  
Fax (408) 749-6901

Date: October 30, 2001

  
\_\_\_\_\_  
Joe A. Brock, II, Esq.  
Registration No. 46,021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of	)	
	)	Examiner: Unassigned
Roger R. DUBE	)	
	)	Art Unit: Unassigned
Application No. [To be assigned]	)	
	)	Docket No. GATEP002
Filed: October 30, 2001	)	
	)	Date: October 30, 2001
For: ELECTRONIC FILE PROTECTION USING	)	
LOCATION	)	

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Signed: \_\_\_\_\_

Neely Jo Welby


REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP

  
Joe A. Brock, II, Esq.  
Reg. No. 46,021

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